

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Yorio WADA, et al.
SERIAL NO. : (Unassigned)
FILED : (Herewith)
FOR : OPTICAL FILTER AND OPTICAL DEVICE
GROUP ART UNIT : (Unassigned)
Examiner : (Unassigned)

COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 & § 1.98**

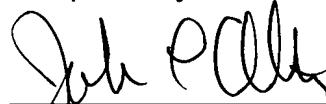
SIR:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(1), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In accordance with the requirements of 37 C.F.R. § 1.98, copies of the references are submitted herewith.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,


John C. Altmiller
(Reg. No. 25,951)

Dated: 24 March 2004

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FORM PTO-1449

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT(S)

Atty Docket No. : 12706/10
 Serial No. :
 Inventors : Yorio WADA et al.
 Filed :
 Group Art Unit :
 Examiner :

U.S. PATENT DOCUMENTS

Examiner <u>Initial</u>	Patent <u>Number</u>	Patent <u>Date</u>	Name _____	Class/ <u>Subclass</u>	Filing <u>Date</u>
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FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Date	Country	Class/ <u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	3-290629	03/22/02	Japan	_____	X
_____	_____	_____	_____	_____	_____

OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

1. William H. Southwell: *Using Apodization Function to Reduce Sidelobes in Regate Filters*, Appl. Opt., Vol. 28 (1989) pp. 5091-5094
2. P.G. Very, J.A. Dobrowolski, W.J. Wild and R. L. Burton: *Synthesis of High Rejection Filters with the Fourier Transform Method*, Appl. Opt., Vol. 28 (1989) pp. 2864-2875
3. HANDBOOK OF OPTICS, Second Edition, Vol. 1, Fundamentals, Techniques, and Design, OPTICAL SOCIETY OF AMERICA, McGraw-Hill, 1995, pp. 42-50

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.